

Investor Information

Investor Information

First Name of Investor:

Last Name of Investor:

E-Mail Address of Investor:

Phone Number of Investor:

What is the Name of the Company you have invested in?

**\*\*Note:** If you have made investments in more than one (1) company, you will need to fill out one application for each investment.

Address of Massachusetts Company in which you have invested:

Company Address:

Company City/Town:

Company State:

Company Zip Code:

What calendar year did you invest?

How much was your investment in the calendar year identified above?

Company Partner

Investor Instructions

Please contact the company representative at the qualifying business in which you have invested with instructions to register at SmartSimple and provide you with their profile PIN. Once they have registered, you can invite them using the PIN number so they can complete the Company portion of the application. The instructions can be found here [Company Registration Instructions](#). Their portion of the application will be made available once you have successfully submitted the Investor portion.

Name	Role	E-mail	Phone	Organization
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Investor Uploads

Legal Instruments Documenting Investment

Provide the executed legal instruments which document your investment.

**Note that the investment must be at risk and not secured or qualified; nor may it include venture funds, hedge funds or commodity funds with institutional investors or investment in a business involved in retail, real estate, professional services, gaming or financial services.**

## Accredited Investor Certification

Upload a letter from the Taxpayer Investor's certified public accountant or lawyer, each of which must be in good standing within the Commonwealth of Massachusetts, with a representation that such professional has taken reasonable steps to verify the Taxpayer Investor's net income or net worth and any other requirements as defined by the United States Securities and Exchange Commission to establish accredited investor status, pursuant to 17 USC § 230.501(a). Please upload an Accredited Investor Certification from your certified public accountant or attorney in the form substantially similar to the model provided.

### VIEW MODEL HERE:

[Accredited Investor Certification Example](#)

## DOR Certificate of Good Standing

Please submit a Certificate of Good Standing from the Massachusetts Department of Revenue, which is offered to individual taxpayers at [MassTaxConnect](#). By clicking on this link, the certificate is offered on the right-hand side of the screen.

## Investor Signature

### Investor Authorized Representative Signature and Acceptance

I verify that I am authorized to commit myself and to make this application on behalf of myself. I certify that the above information is correct and that the statements made herein, including all attachments and exhibits, are true and correct to the best of my knowledge. **The submission of false information to the Center is subject to prosecution under the False Claims Law at M.G.L. c. 12, sections 5A – 5O.** [Massachusetts General Law](#)

I understand that this Angel Investment Tax Credit Program Application may be disqualified if it does not contain all required information or if the applicant does not meet the eligibility criteria required under the Program, and I further acknowledge and agree that the Agreement shall be executed in substantially the form provided by the MLSC.

I specifically acknowledge that all of the terms and conditions of the Solicitation are mandatory.

On behalf of the applicant, I understand and acknowledge that all materials submitted as part of this application are subject to disclosure under the Massachusetts Public Records Law. Furthermore, I understand and acknowledge that I have followed the procedures set forth in Section 8.1 of the Angel Investment Tax Credit Program Solicitation for any documents that I believe maybe proprietary in nature and that may fall within the parameters of the MLSC's Trade Secrets Exemption; and that the MLSC's receipt of such documents does not represent a finding by MLSC or the Supervisor of Public Records that such documents fall within the Trade Secrets Exemption.

By signing below and submitting this Application to the MLSC, the applicant expressly authorizes the Massachusetts Department of Revenue to release to the MLSC and any person or entity authorized to act on its behalf information contained on applicant's tax filings relevant to the tax benefit applied for pursuant to this Application and/or granted by the MLSC. MLSC agrees to keep such information confidential and to use such information solely for the purpose of evaluating this Application and administering the Program.

I acknowledge and agree that the MLSC has sole discretion to determine which applicants receive benefits under the Program. I understand that if the business in which I have invested ceases to do business, moves its business out of the Commonwealth, or fails to file its Annual Report within the three taxable years following the taxable year for which the Credit was allowed, I as the Taxpayer Investor will have to repay the total Credit amount to the Commonwealth. I acknowledge and agree that if I as the Taxpayer Investor is awarded a MLSC Angel Investment Tax Credit from the MLSC, I as the Taxpayer Investor will be required to enter into an agreement with the MLSC and abide by all policies of the Angel Investment Tax Credit Program.

Proprietary

Please indicate whether your responses to this application are proprietary in nature as applicable to the MA Public Records Law.

--Select One--